

FIG. 1

TRANSACTION PARTIES (e.g., Parties 102 - 116)

E.g.,

Corporate  
Clients &  
Other Clients

Insurers

Courts &  
Agencies

Attorneys

Parties

**SERVICE FACILITY**  
Transaction Management Facility  
Connectivity & Communications Facilities  
Access Control and Authentication Facilities  
Database Management Facilities  
Billing Facilities

200

E.g.,

Matter  
Management  
Services

eServices and  
Notification

Collaboration  
Services

Deal  
Services

Contextual  
Content and  
Research

Online Motions

Dispute  
Resolution

3d. Party  
Services

202

204

206

208

210

212

214

216

FIG. 2

FIG. 3

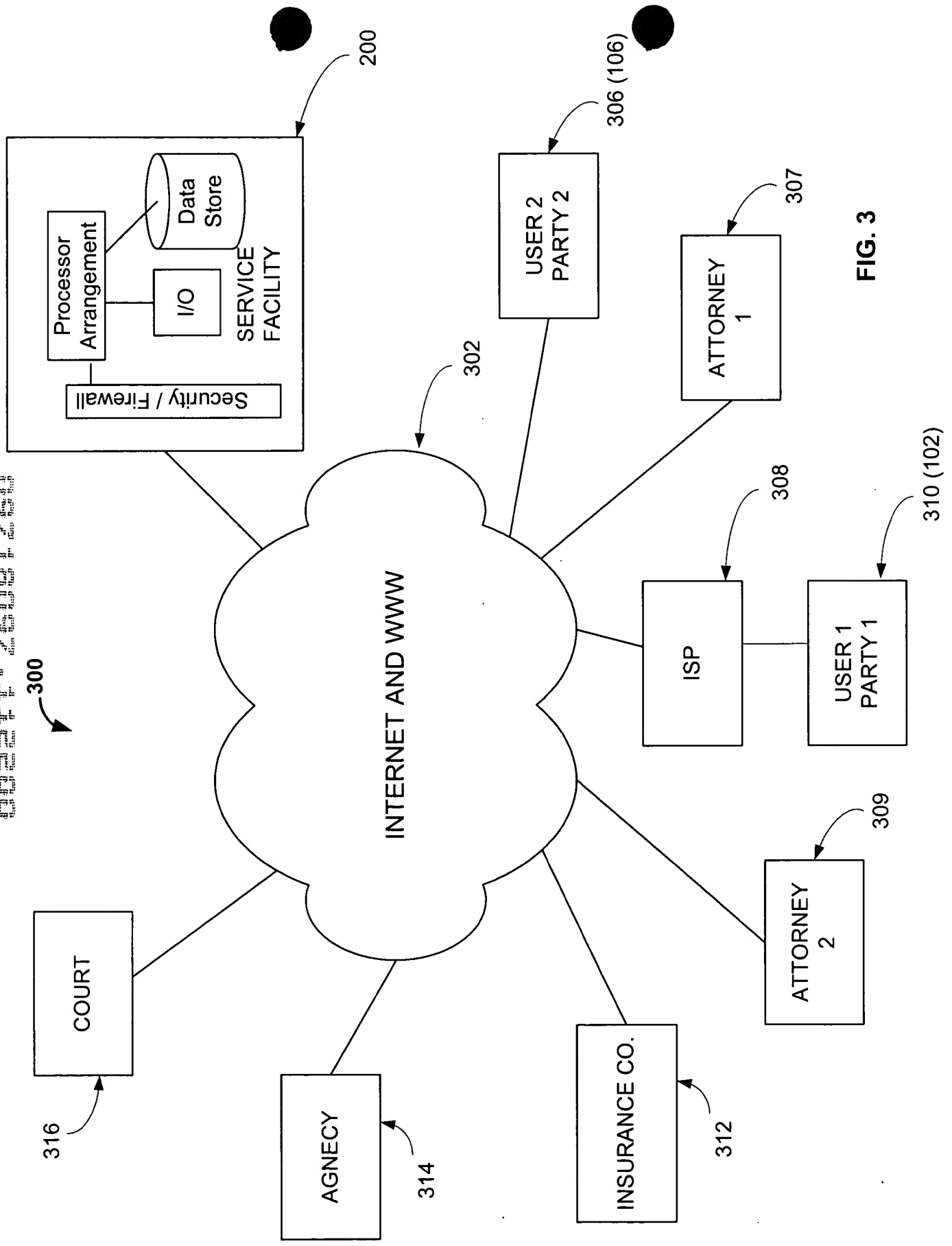


FIG. 3

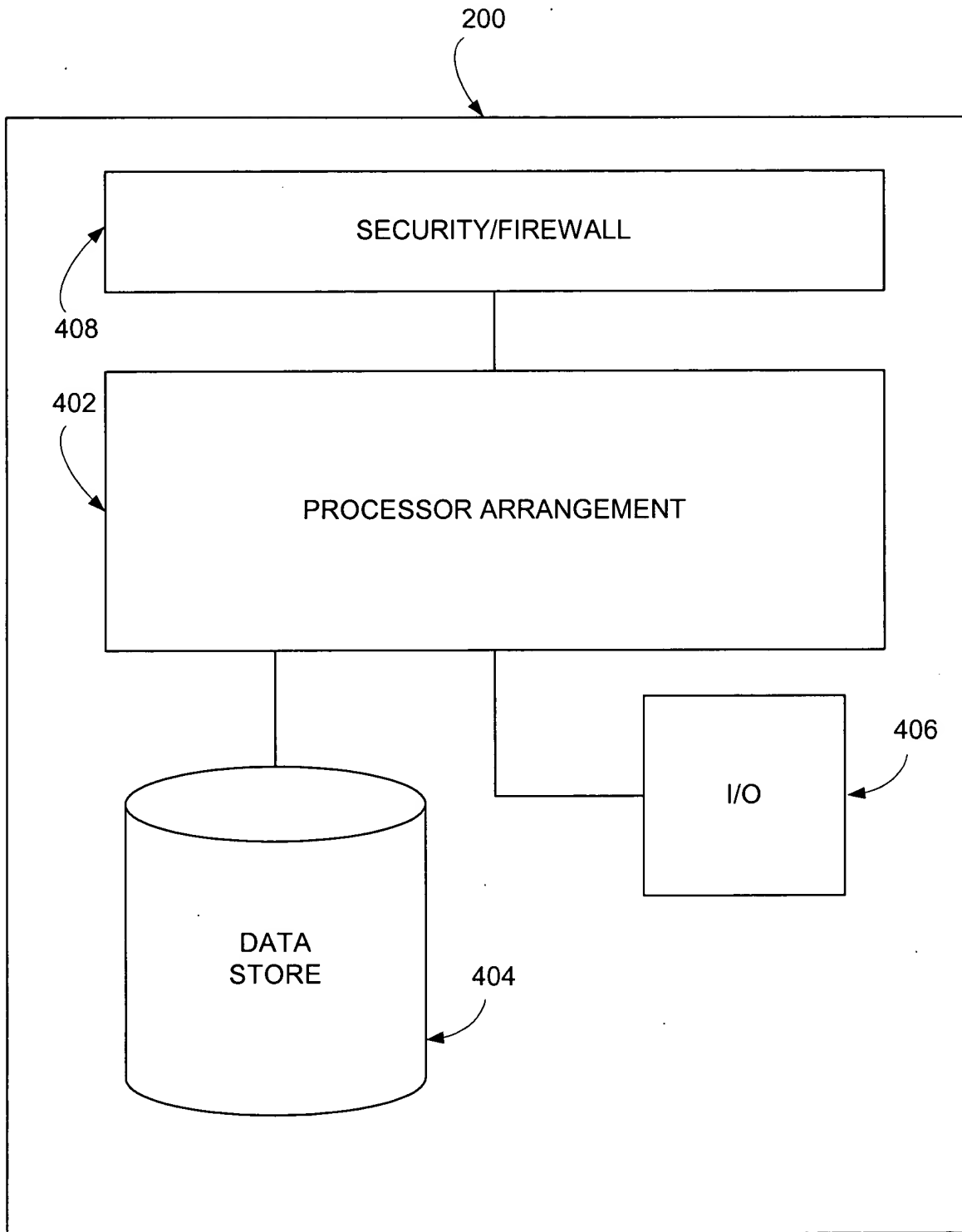


FIG. 4

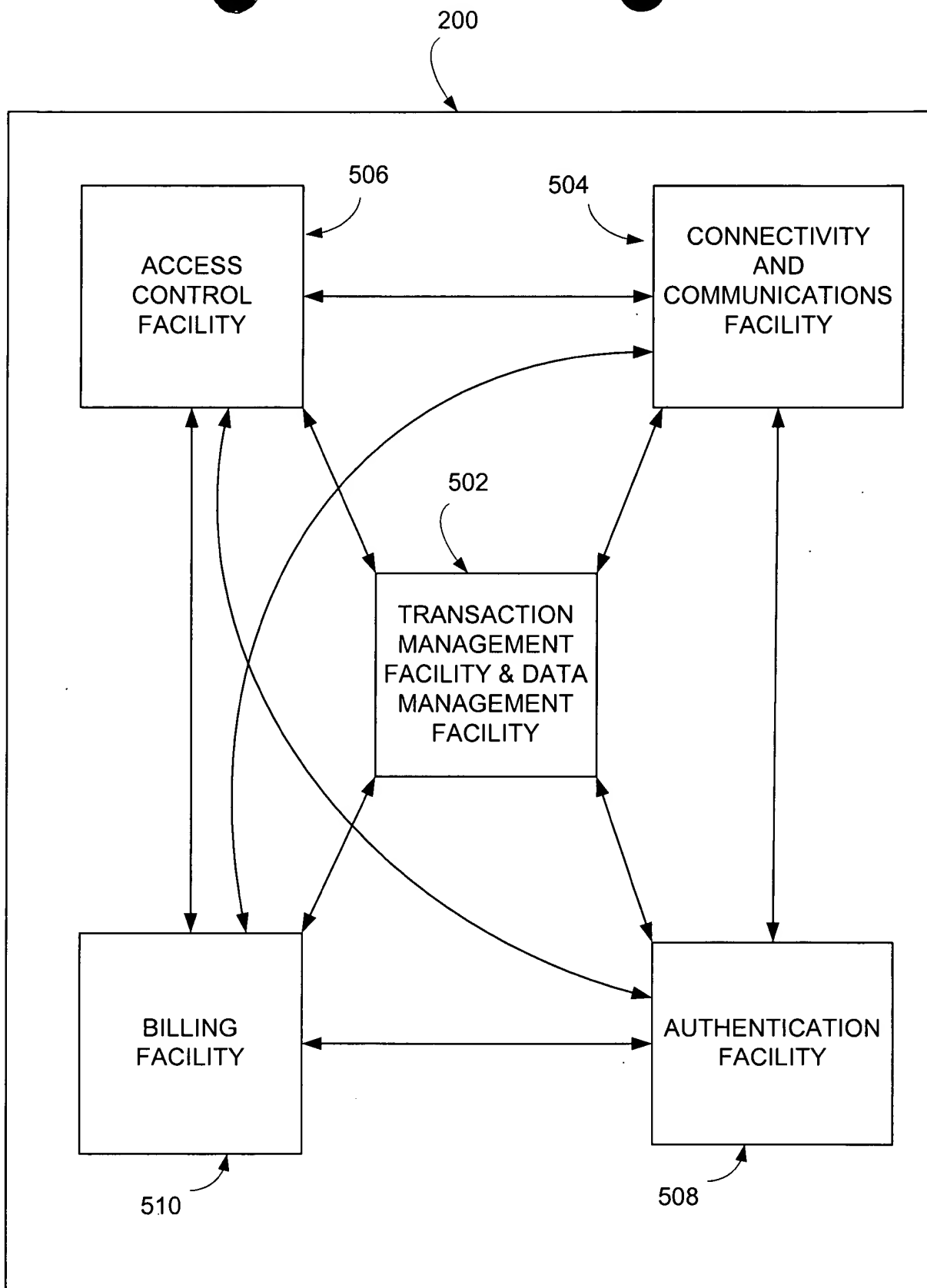


FIG. 5

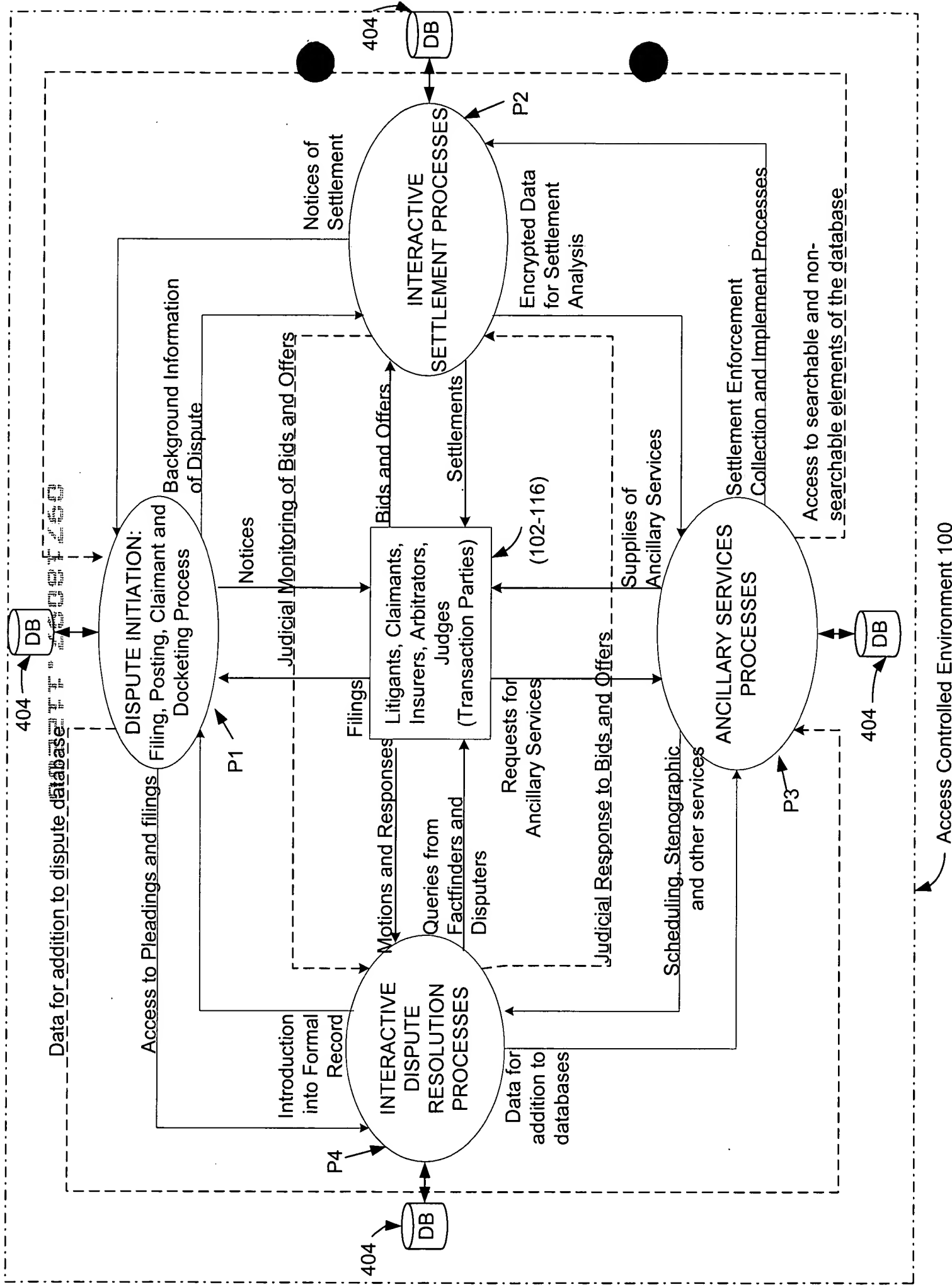


FIG. 6

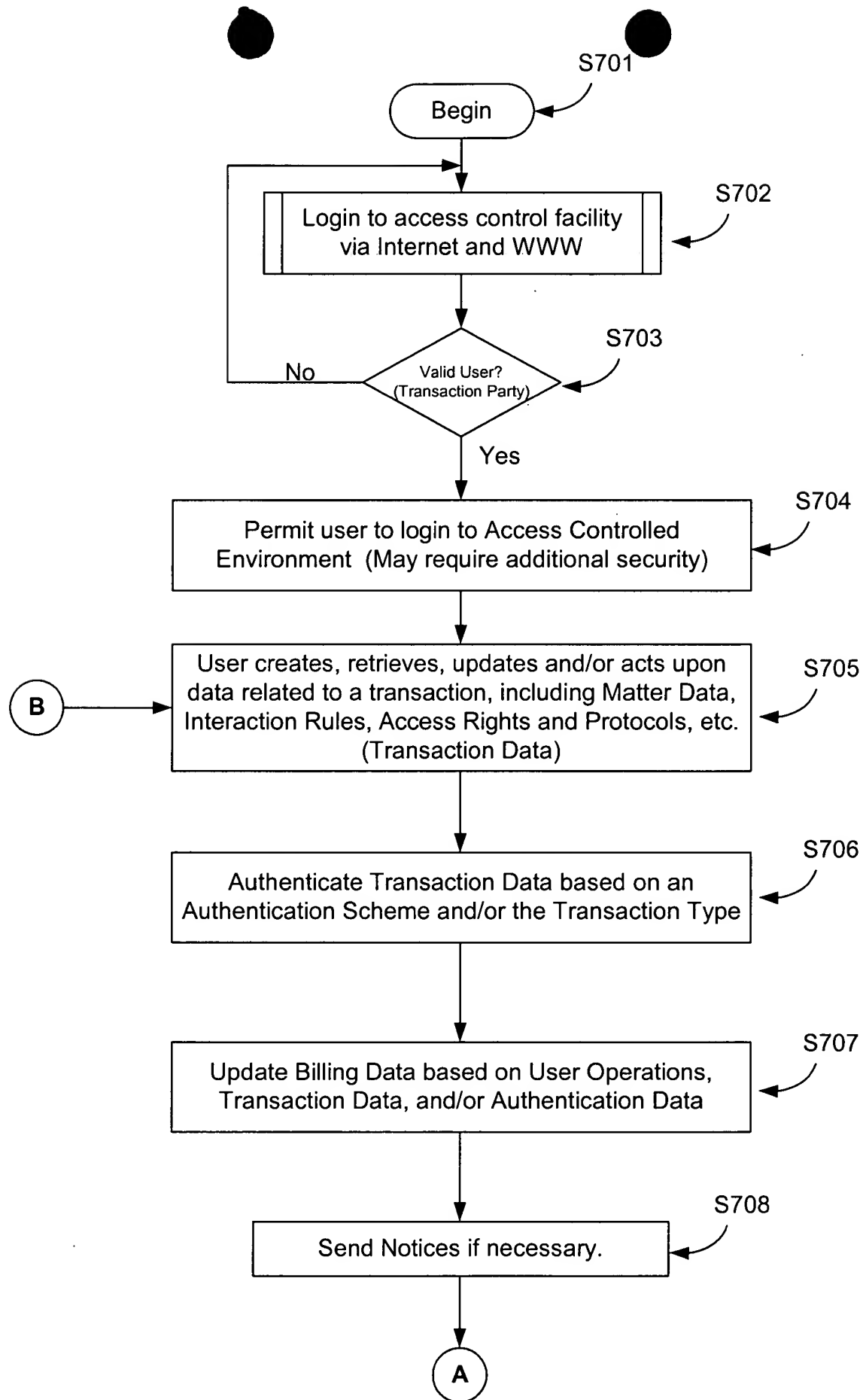


FIG. 7A

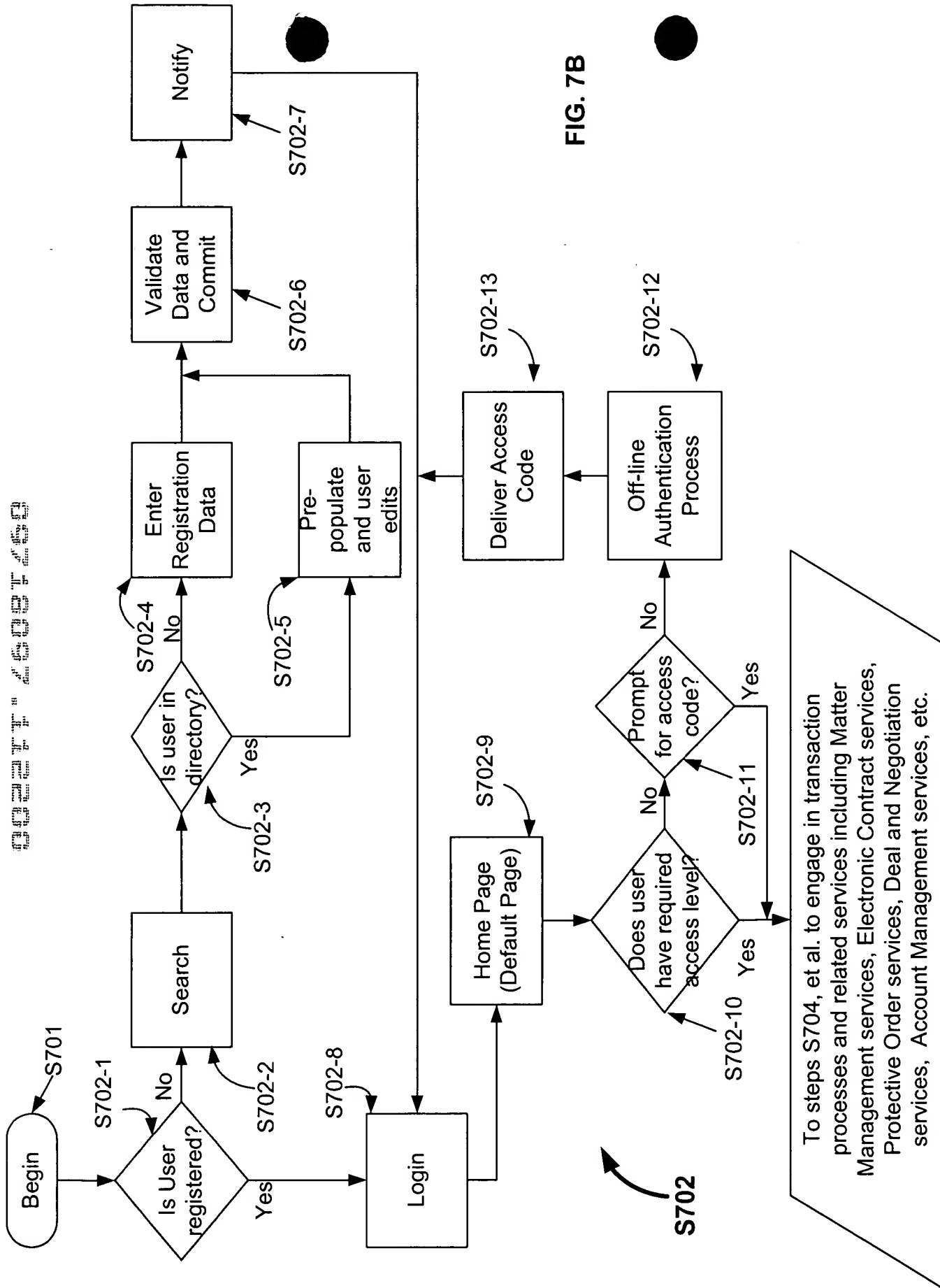


FIG. 7B



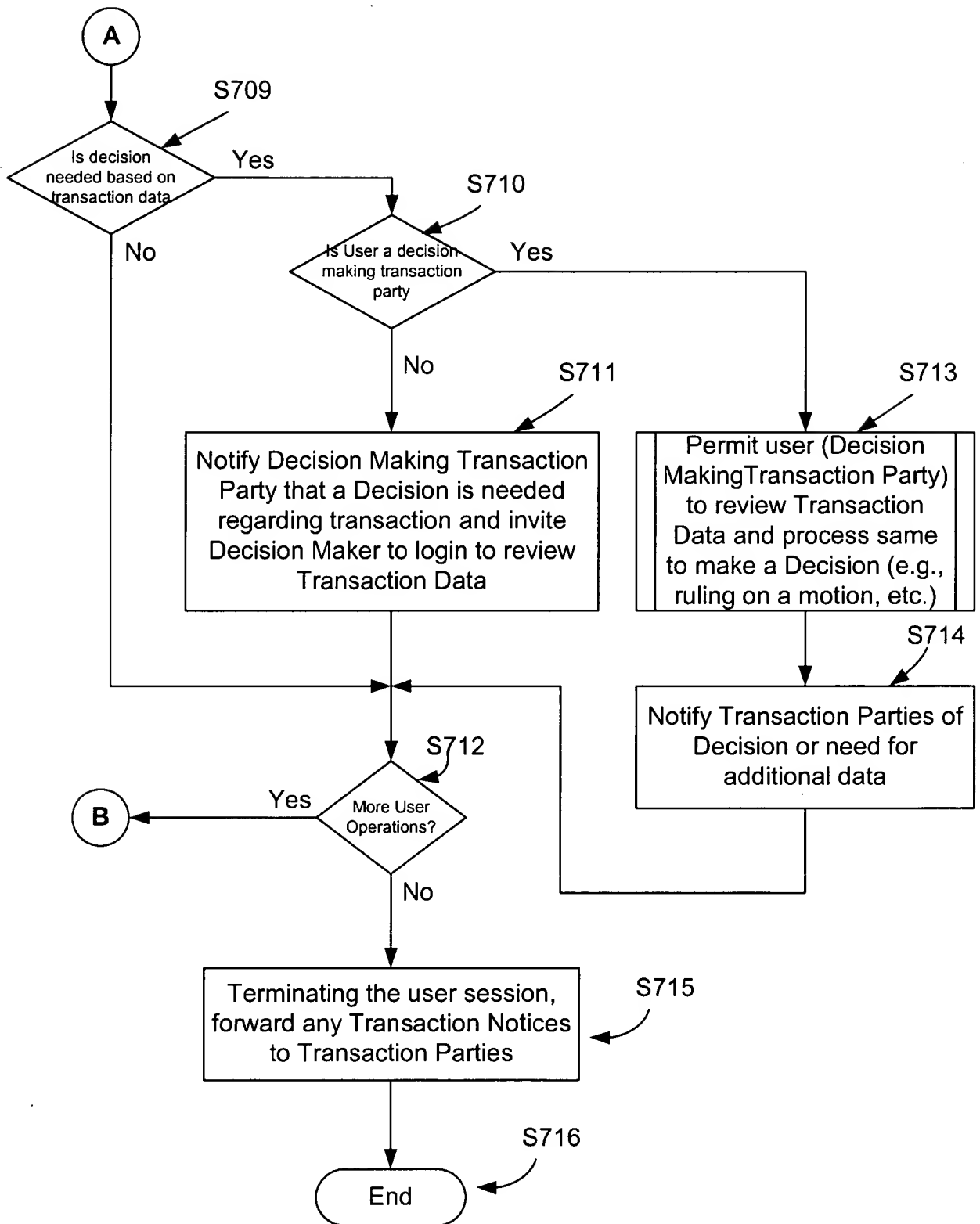


FIG. 7C

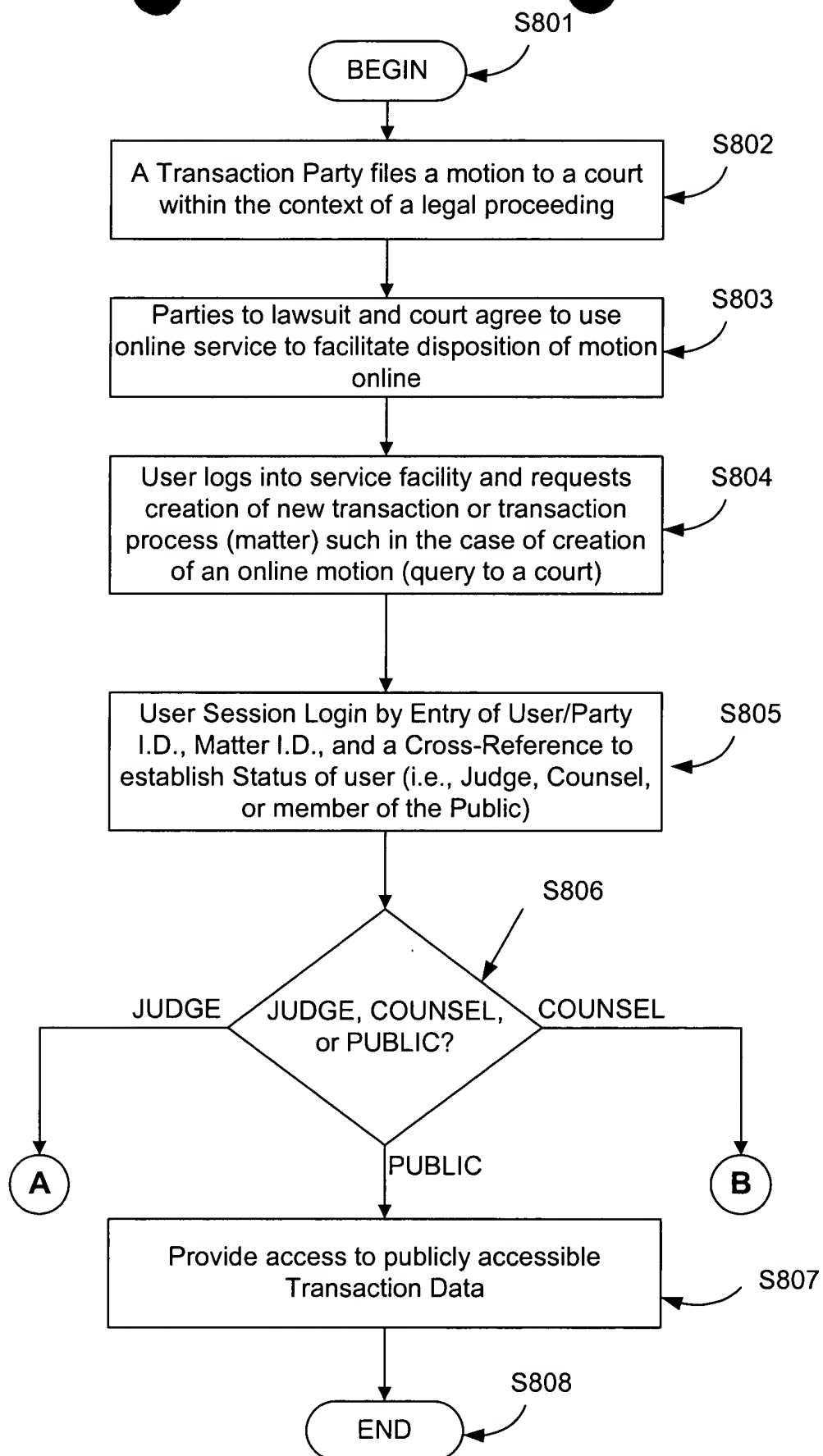


FIG. 8A

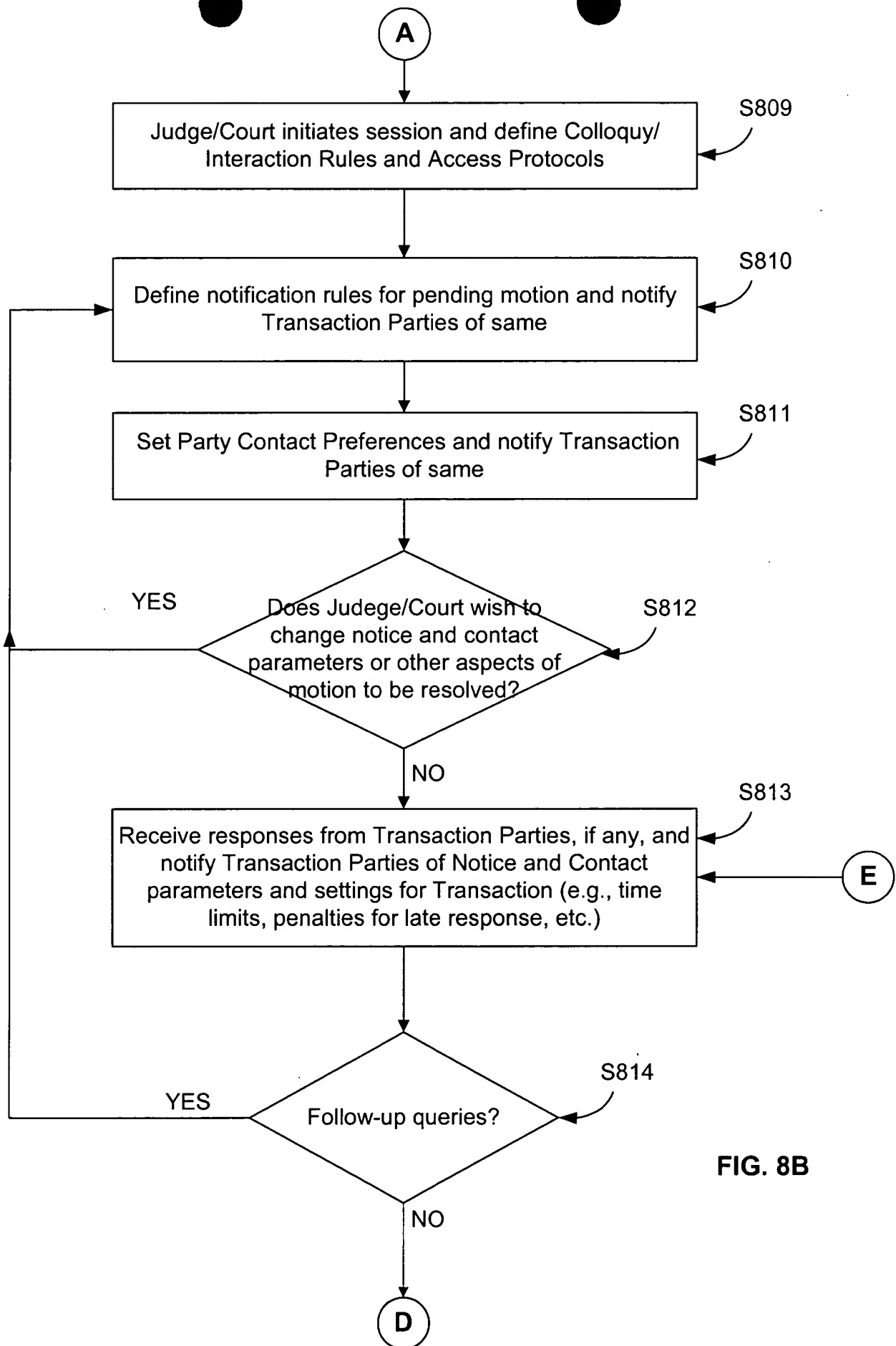


FIG. 8B

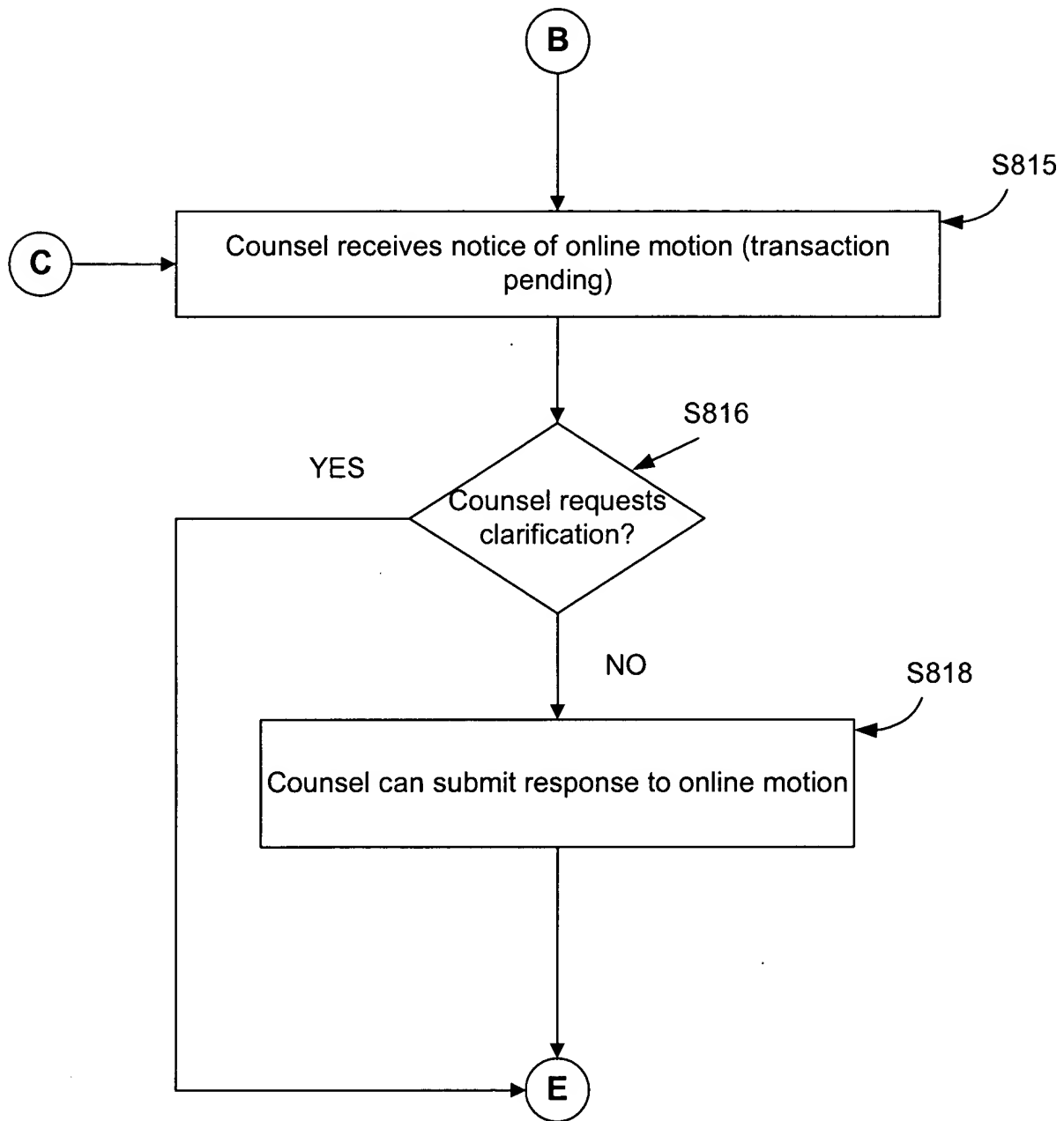


FIG. 8C

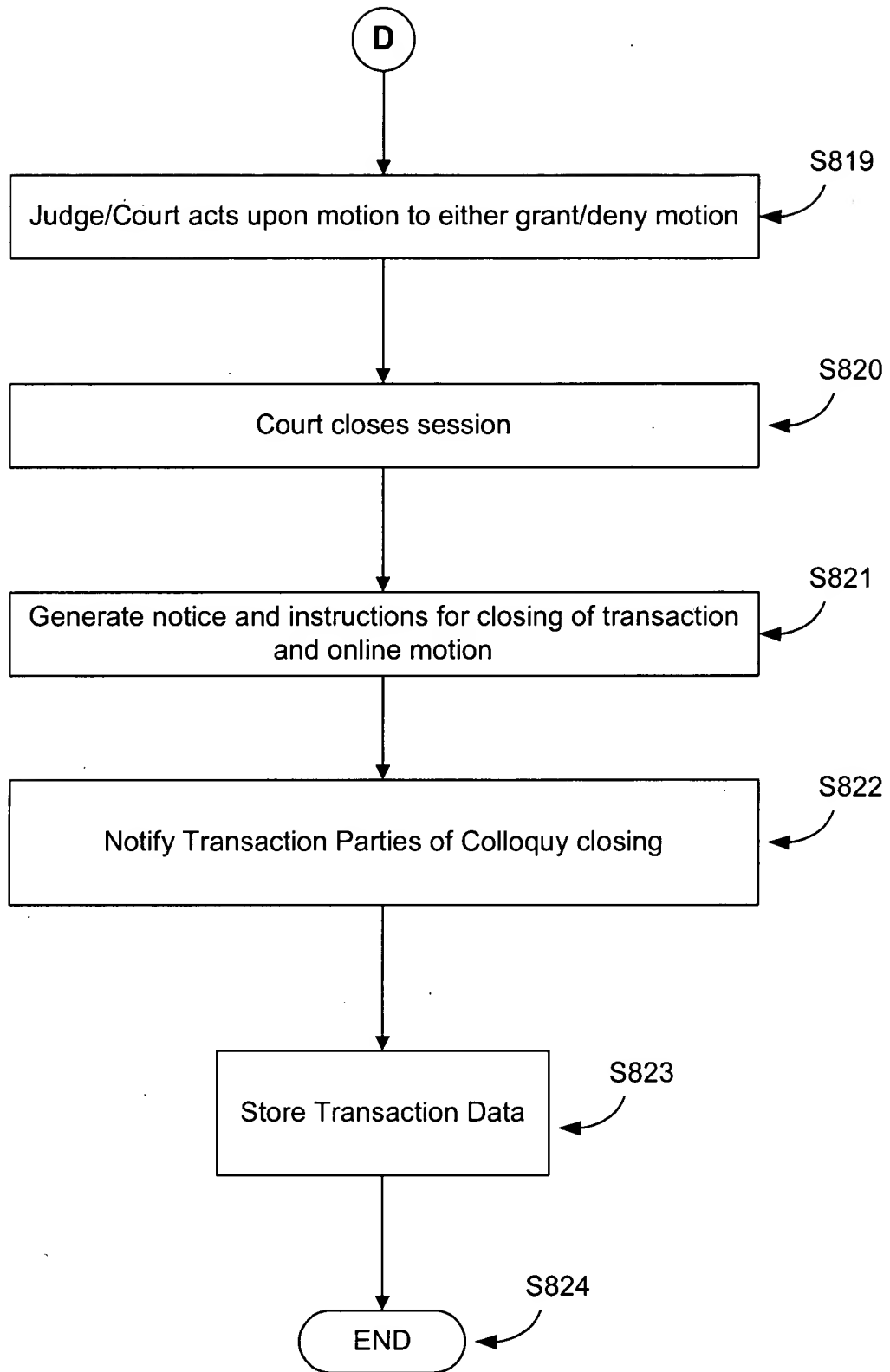


FIG. 8D

# Authentication: Org Sys Admin

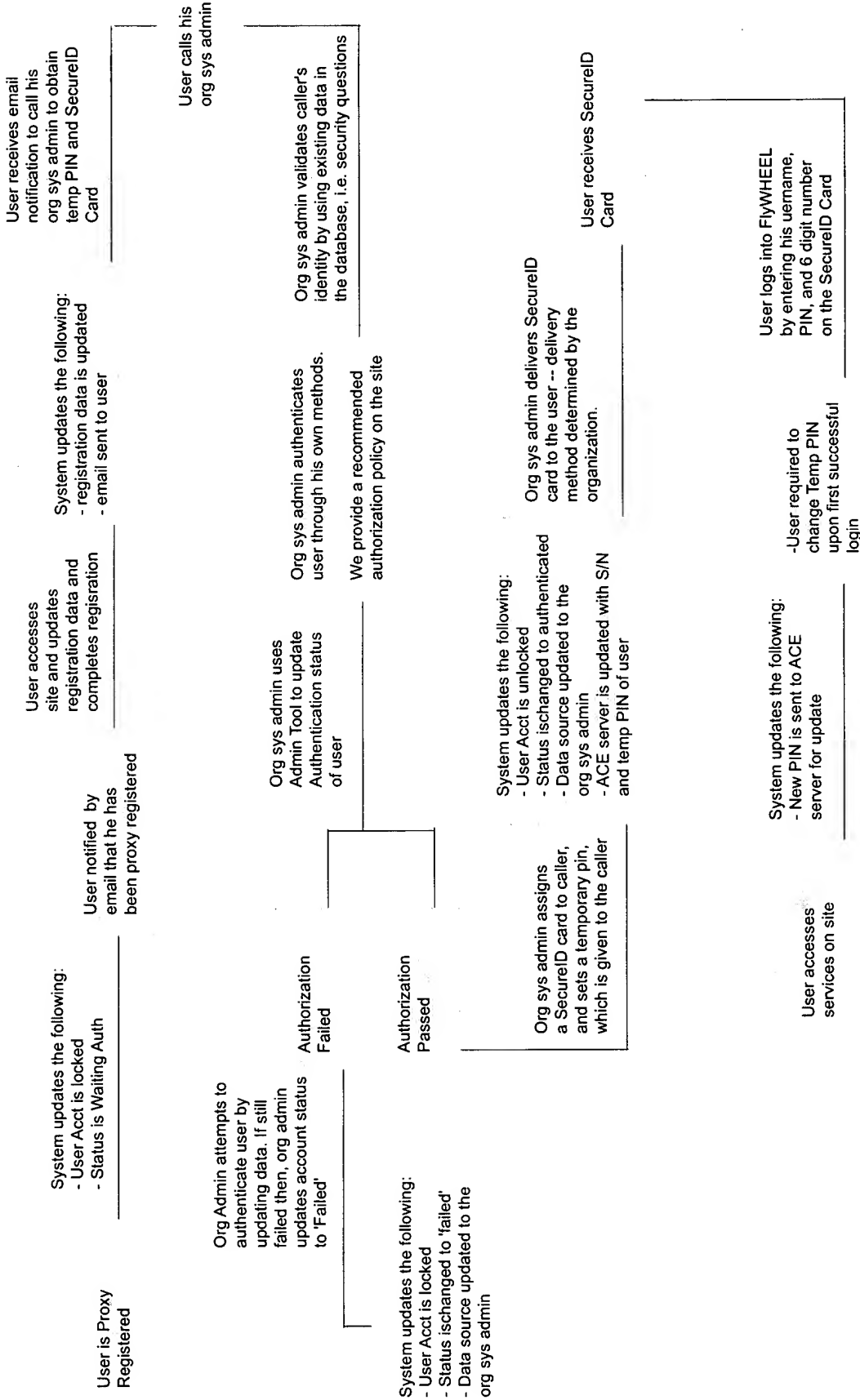
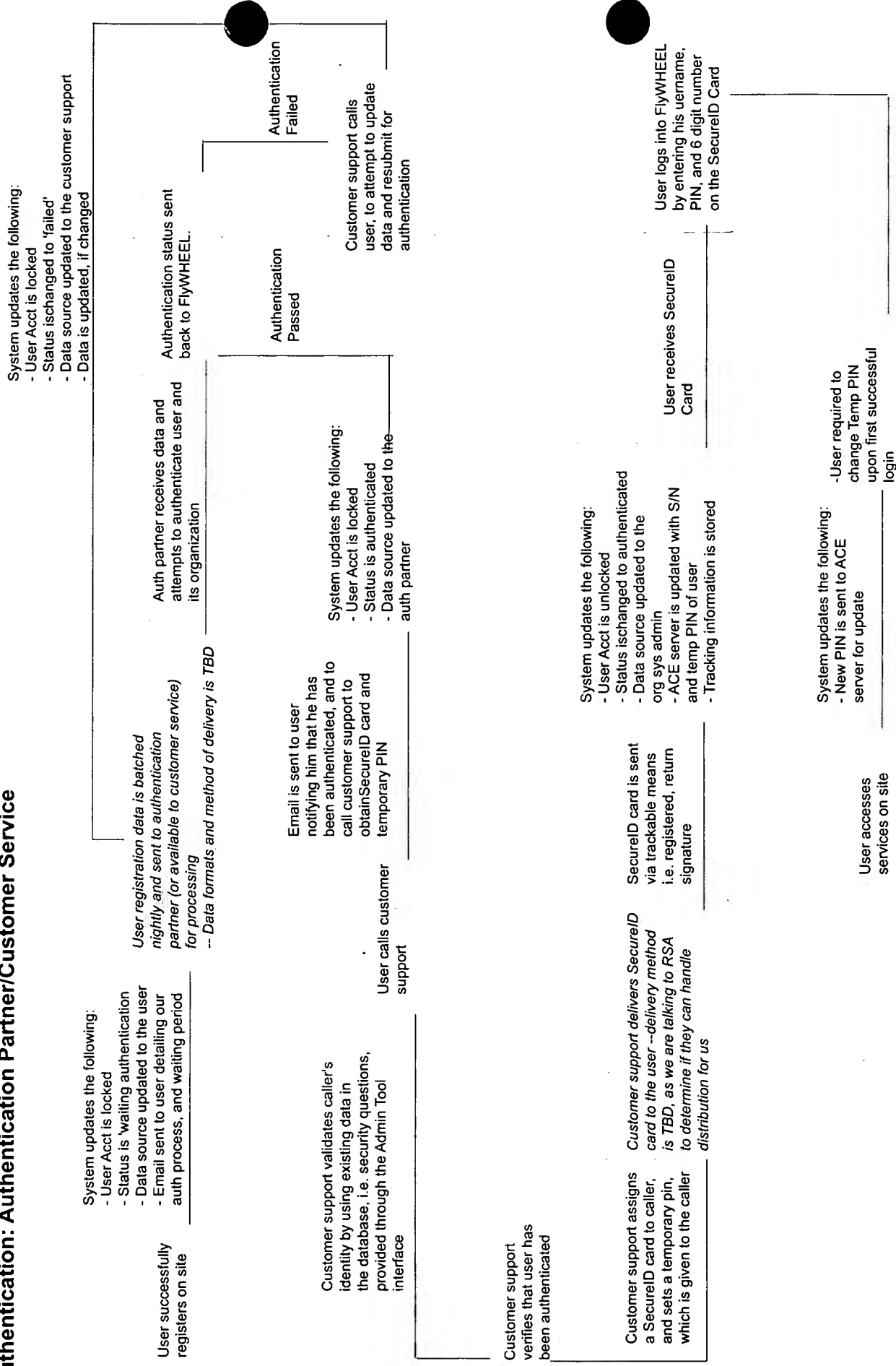


FIG. 9A

## Authentication: Authentication Partner/Customer Service



Order SecureID Cards -- Org Sys Admin

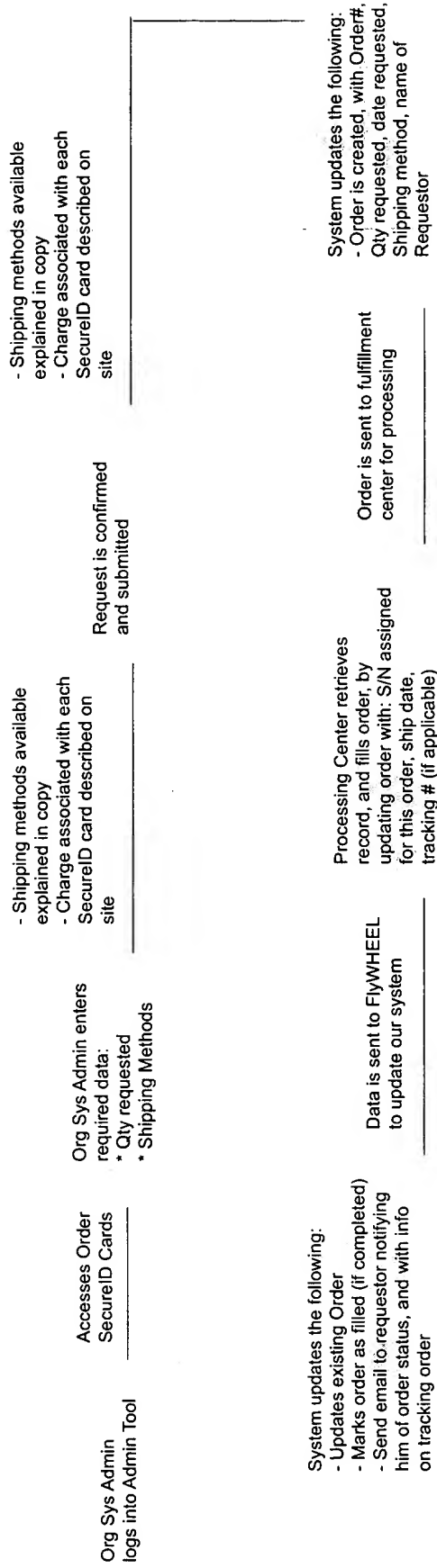


FIG. 9C



## Lost/Stolen SecureID Card -- Issued by Customer Service

User loses  
SecureID  
Card

User accesses Lost/  
Stolen FOB page on site  
for information on FOB  
replacement

User calls  
Customer  
Support

Customer Support  
logs into Admin Tool

Customer Support  
validates caller's identity  
using data from system  
(i.e. Security Questions)

Customer Service uses  
Admin Tool to request  
new SecureID card for  
caller

Customer Service may  
request an Emergency  
Access Code for the  
requestor, to allow 1 time  
access to his account  
within 24 hour period

The System performs the following:

- User record is updated in ACE server with NEW SecureID S/N.
- Order is marked as fulfilled. (data elements are Order #, date shipped, ID of who fulfilled the order.
- Shipment will need to be trackable and sent via registered mail or with return signature
- Current inventory is updated
- A billing event will be triggered so that the org will be billed for this SecureID card at next billing cycle

Order is fulfilled, data  
is entered, SecureID  
card is shipped and  
system is updated (batch).

Fulfillment Center Personnel  
(could be Customer Support)  
accesses system to fill  
current orders

The System performs the following:

- An order is generated
- The order will include the requestor id, id of person who placed the order, shipping address, organization, date ordered, order #
- The order will need to be fulfilled and tracked.
- Requestor is sent an email confirmation that their order has been received and will be processed
- Current S/N of the User is flagged in the ACE server and disabled immediately (or after 24 hour period, if Emergency Access Code requested)

User receives SecureID  
card in mail, signs for card

If we are tracking delivery,  
we will need to have this  
delivery information sent  
back to us, so that we can  
update our database

User accesses system  
and logs in by providing  
username, PIN, and  
number on SecureID card

FIG. 9D

# Lost/Stolen SecureID Card -- Issued by Org Sys Admin

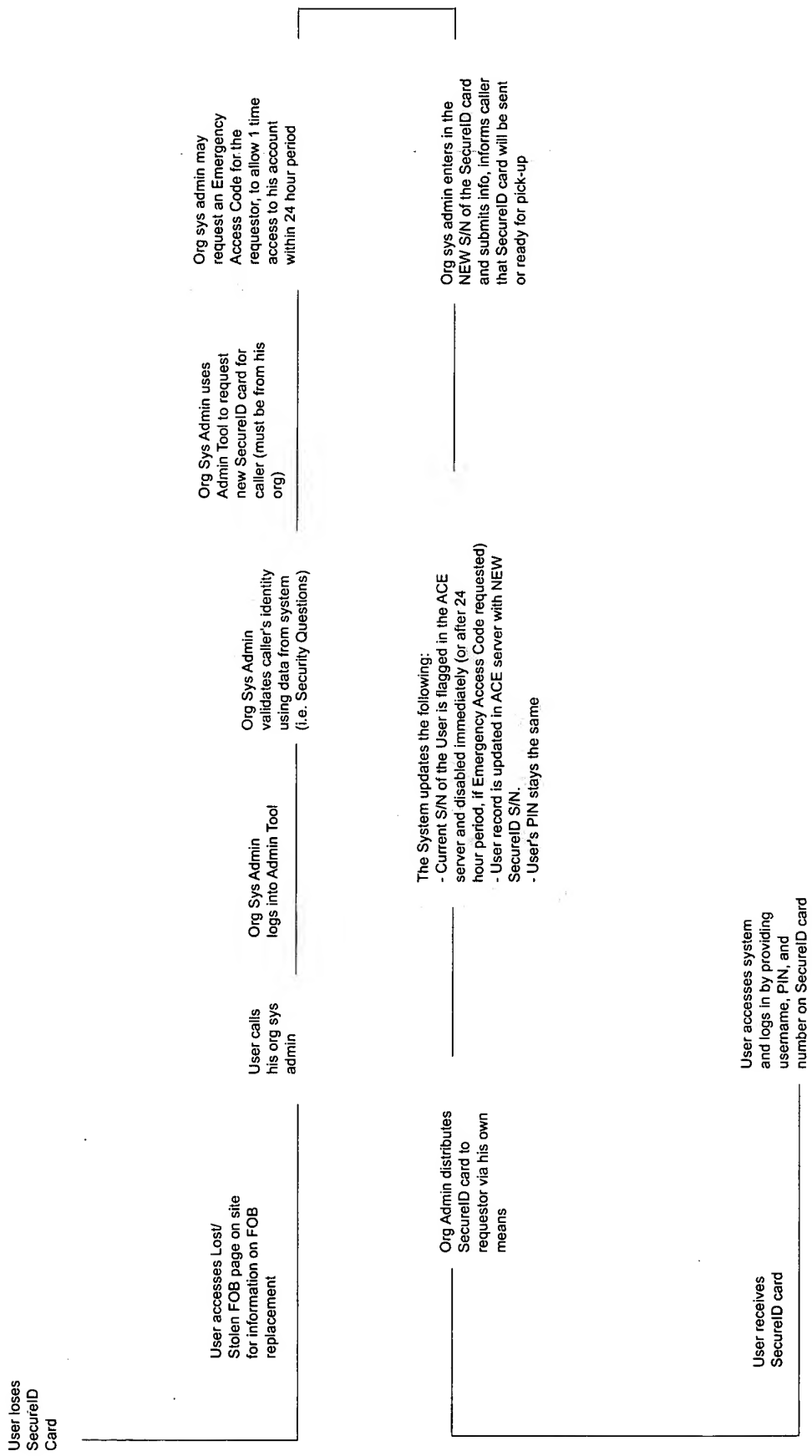
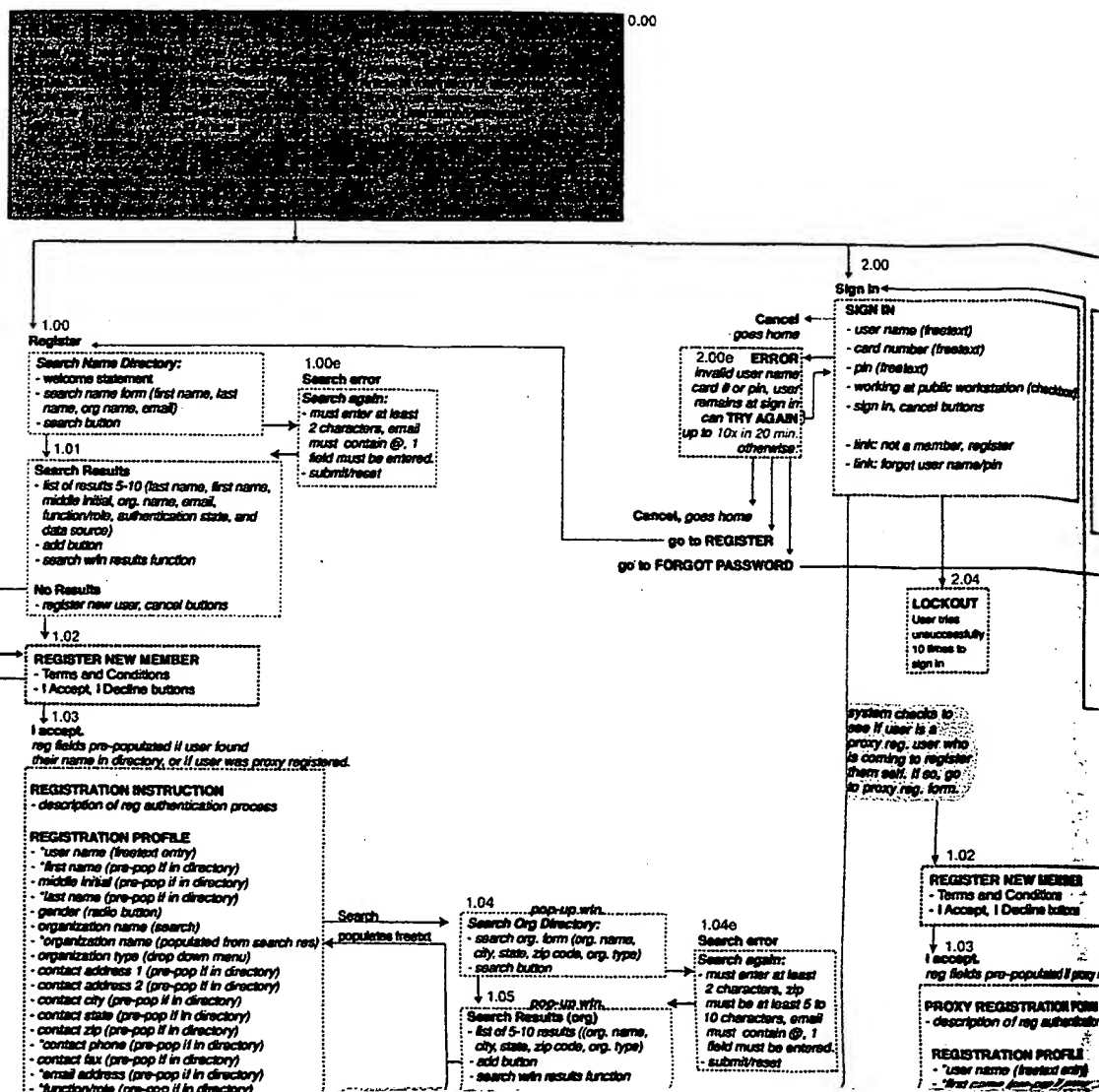
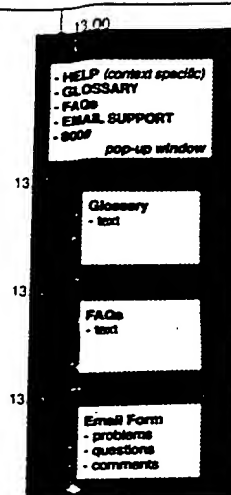
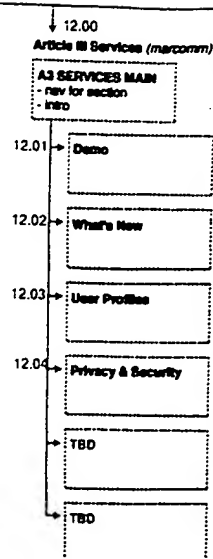
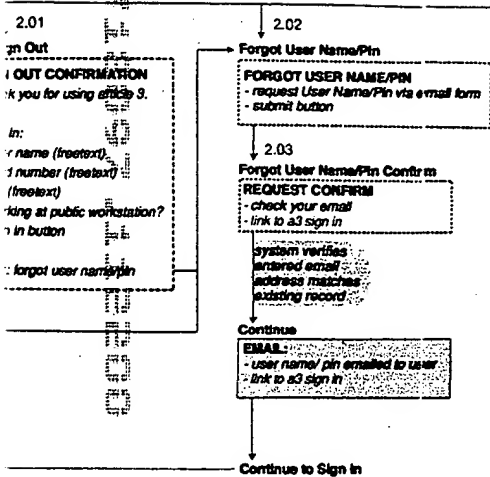


FIG. 9E

**THE**



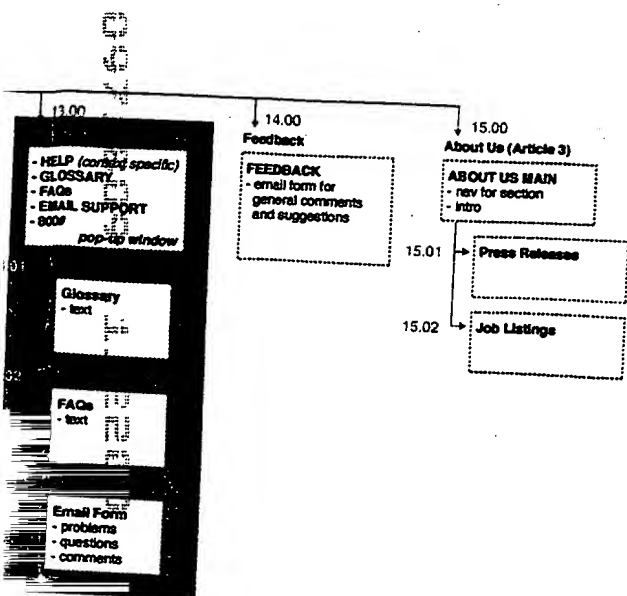
2

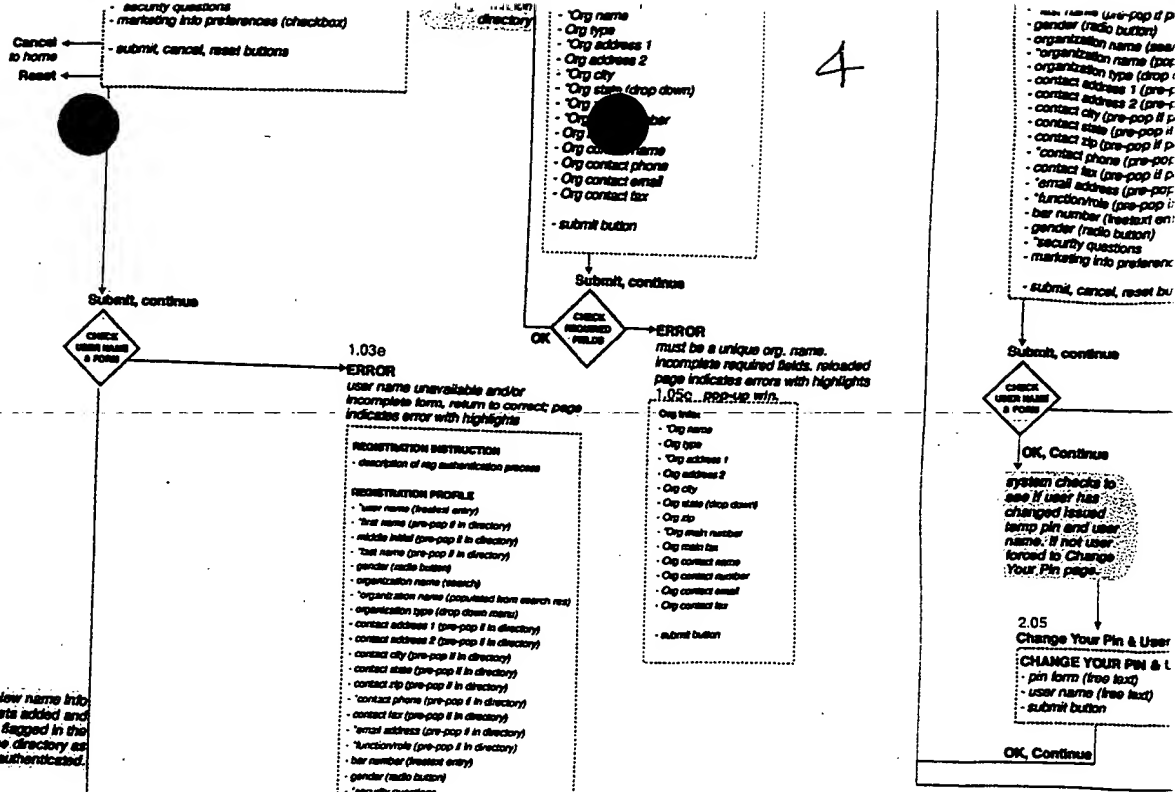


decline  
goes home

grad.

2003





New name info gets added and flagged in the name directory as not authenticated.

New name info gets added and flagged in the name directory as not authenticated.

**Registration Confirmation Screen**  
Thank you for registering:

- User notified that a secure ID card will be sent within x hours.
- Link to a3 authentication process info.

**Email Confirm:**  
User notified that a secure ID card will be issued (sent within x hours from authentication center or issued by org. sys. admin.)  
number to call for authentication (a3 partner or org. sys. admin.)  
Link to a3 authentication process info.

**Email notification:**  
User that added non-registered participant to matter is notified that proxy user xname has registered.

**A3 Authentication Partner:**  
A3 Partner sends:  
- secure id card with account number  
- next step instructions

User calls A3 Authentication partner's 800#

**PHONE CALL**  
A3 authentication partner

Organization Sys Admin accesses a3 authentication tool

**Bulk Organization Authentication**  
Organization Sys Admin issues:  
- secure id card and note the account number  
- temporary pin number  
- next step instructions

Go to Sign in

## REGISTERED MEMBER AREA

### 5.00 CREATE MATTER (step 1)

**CREATE MATTER FORM**  
(created by system)

- matter number
- originator name
- originator date

(input by user)

- matter short name
- full matter name
- docket number (litigation only)
- related docket number(s) (comma delimited)
- date complaint filed (litigation only)
- litigation/transaction type
- presiding judge - litigation only (search)
- presiding judge - (populated from res.)
- court name (search)
- organization/court name (pop. from res.)

submit, previous cancel buttons

Submit, continue

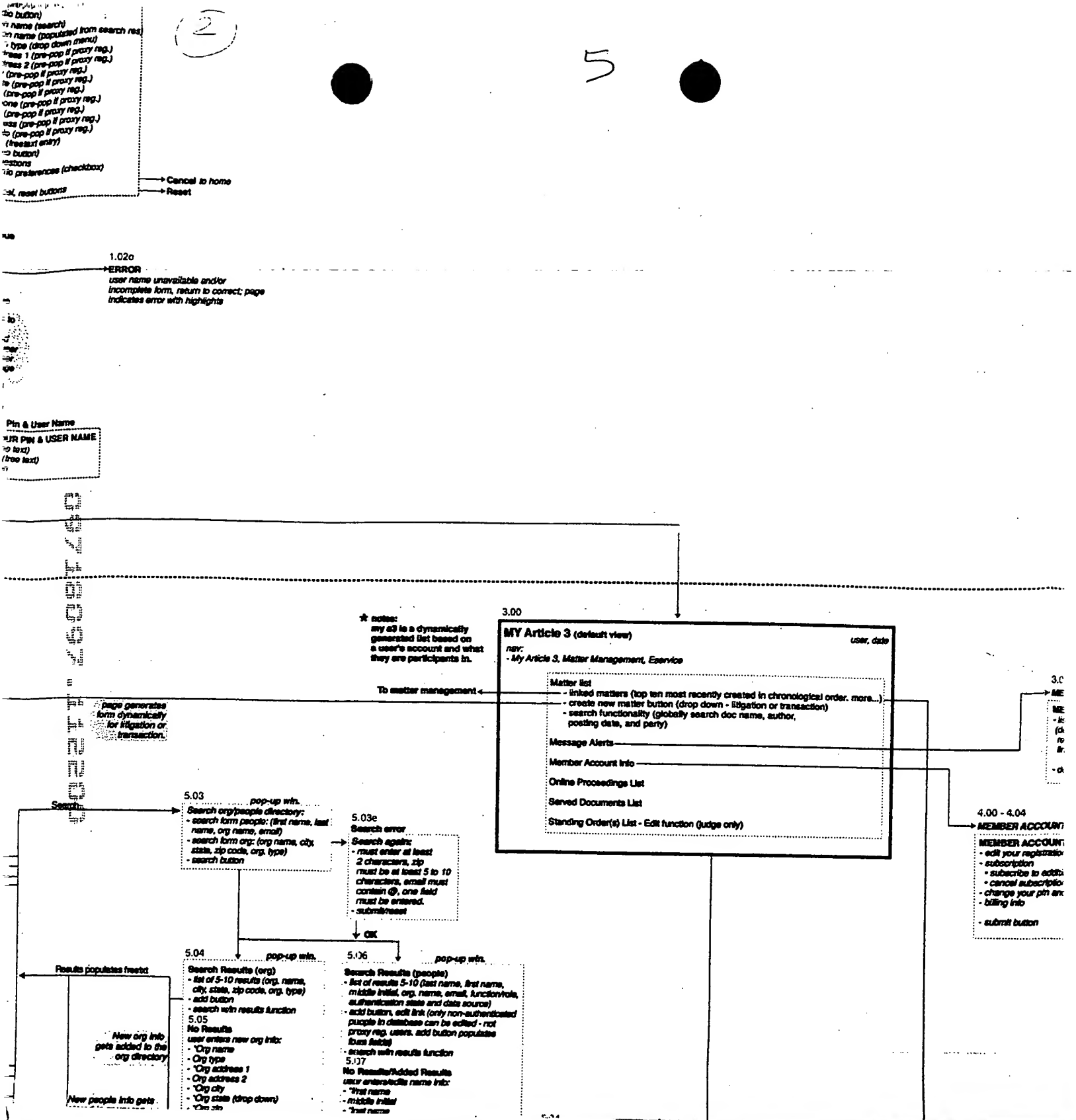
Check required fields

5.00e ERROR: return to correct page indicates error with highlights

### 5.01 ADD PARTIES (step 2)

**ADD PARTIES FORM**

- add parties (search org. or last name etc.)
- party name 1 (populated from res.)
- party status 1 (drop down menu)
- party name 2 (populated from res.)



3.01

MESSAGE ALERTS pop-up win.

MESSAGE ALERTS

- list of alerts  
(delete checkboxes, date & time received, links to related matters, linked alert summary)
- delete messages & check all buttons

3.02

ALERT SUMMARY pop-up win.

ALERT SUMMARY

- alert content, link to related matter
- next & previous buttons

ACCOUNT INFO

ACCOUNT INFO

- registration information
- can be to additional services
- subscription
- our pin and user name



7

1875

authentication tool

asks user several questions to verify identity.

user told to email notification

If temp user fails authentication

Email notification - User that added non-registered participant to matter user failed authentication.

OK, Continue

USER VALIDATED

- A3 partner issues user temp. pin number  
- user told they can now sign in, but they need to change their pin.

A3 Authentication partner assigns temp pin to user in system. Proxy Reg. Flag gets removed from name in name directory.

Go to Sign in

add another search again (func.)

submit previous buttons

Submit, continue

5.01e ERROR

return to correct page indicate error with highlight

OK

5.02

ADD PARTICIPANTS (step 3)

ADD PARTICIPANTS FORM

participants (search)

(list of participants populated from res.)  
- participant name 1 (populated from res.)  
- organization name (populated from res.)  
- function/role (populated from res.)  
- participant 1 party association (drop down)  
- participant 1 administrative rights (yes, no)  
- make participant invisible (yes, no)  
- add another search again (func.)  
- submit, previous buttons

\* notes:  
a user can only make a participant invisible from their own party.

Submit, continue

5.02e ERROR

admin rights must be or individual per party, not. Indicates error with high ADD PARTICIPANTS FORM (list of participants populated from res.)  
- participant name 1 (populated from res.)  
- organization name (populated from res.)  
- function/role (populated from res.)  
- participant 1 party association (drop down)  
- participant 1 administrative rights (yes, no)  
- make participant invisible (yes, no)  
- add another search again (func.)  
- submit button

ERROR returns again for corrections

CHECK REQUIRED FIELDS

OK

5.08 BILLING INFO (step 4)

BILLING INFO  
- How many participants involved in matter? (drop down)  
- What is the estimated size required? (drop down)  
- What is the expected duration time of matter? (drop down)  
- submit, previous button

5.09 CREATE MATTER CONFIRM

CREATE MATTER CONFIRM  
- summary of matter info (edit link)  
- summary of parties info (edit link)  
- summary of participants info (edit link)  
- summary of billing info (edit link)  
- info that participants are not part of matter until they are registered and authenticated.  
- submit, cancel, previous button

Cancel to My Article 3

OK Continue

6.00 - 6.10

MATTER MANAGEMENT

MATTER MANAGEMENT  
name of matter, user, matter #, originator name, originator date

Proxy users notified by A3 to Sign up.

Email Notification to temp user:  
- Temp user notified that they've been added to a matter.  
- call # to get authenticated, and get a user name and password.  
- link to decline registration  
- Link to reg. intro page.

Proxy user added as a participant.

New temp user can be found in directory flagged with creator as data source.

1.08 Decline Registration

DECLINE REGISTRATION FORM

- first name (pre-populated)  
- last name (pre-populated)  
- email (pre-populated)

- decline registration button

1.09 Decline Registration Confirm

DECLINE REG CONFIRM

- we will notify xname that you have declined registration.  
- thank you for considering a3.

their record is removed from the database.

Email Notification to xparticipant:

- xname has declined registration.  
- Link to matter in matter management

Registered User notified they've been added to a matter.

Email Notification to reg. user:  
- Reg. user notified that xname has added them as a participant in a matter.  
- Link to matter in matter management

Registered user added as a participant.

Proxy users notified by A3 to Sign up.  
Matter Admin Notified of all new participants added to matter.

Email Notification to temp user:  
- Proxy user notified that someone has added them as a participant in a matter on a3, and they need to register.

Email Notification to matter admin:  
- A Proxy user has been added as a participant to a matter.  
- Link to matter in matter management

Proxy user added as a participant

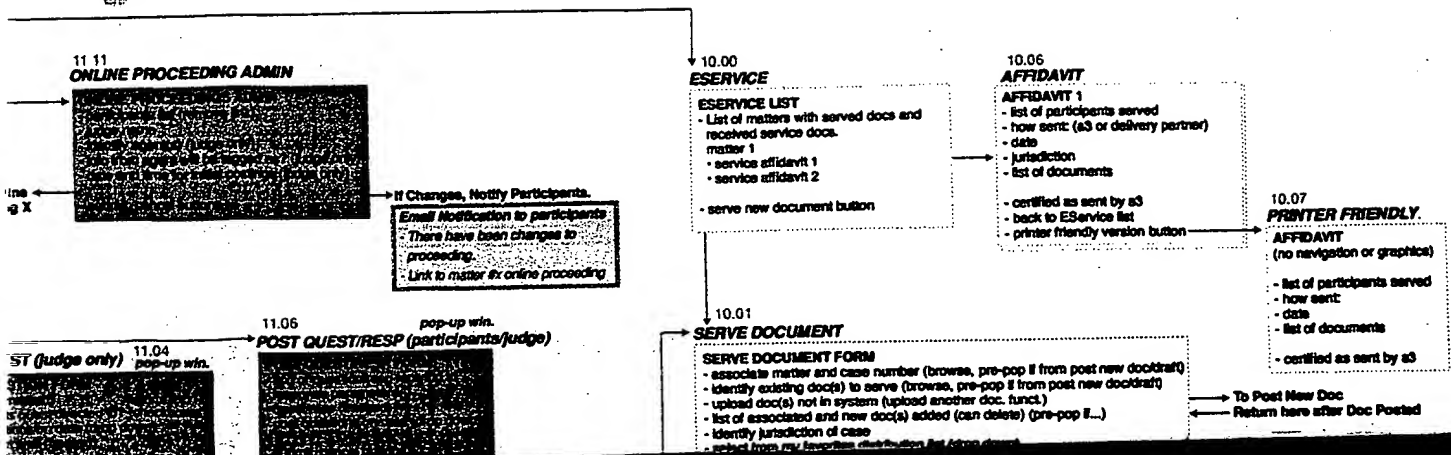
SIGN IN



**TIMEOUT**  
- automatic sign out  
after 20 min

**Sign in Form:**

- user name (textfield)
- card number (textfield)
- working at public workstation?
- pin (textfield)
- sign in button



at requires acceptance of a Protective Order, go here.

00227 15027 13200

9.03  
PROTECTIVE ORDER X

9.04  
PROTECTIVE ORDER X  
PROTECTIVE ORDER X SUMMARY  
- protective order name  
- date created  
- case number  
- judge name  
- list of docs protective order applies to  
- terminate access (y or n)  
- comments  
- distribution list

★ notes:  
doc(s) uploaded in online  
proceedings, do not go through  
post doc/draft process, they simply  
get uploaded without gathering  
info, and are stored in the  
online proceeding area.

11.00  
ONLINE PROCEEDINGS

11.03  
ONLINE PROCEEDING X

11.11  
ONLINE PROCEEDING X

Cancel to Online  
Proceeding X

11.04  
POST QUEST (judge only) pop-up win.

Registered User notified they've been added to a matter  
Matter Admin Notified of all new participants added to matter.

**Email Notification to reg. user:**  
- Reg. user notified that they have been added as a participant to a matter.  
- Link to matter for matter management.

**Notification to matter admin:**  
- A Reg. user has been added as a participant to a matter.  
- Link to matter for matter management.

Registered user added as a participant.

- document viewer
  - download draft
  - view draft in browser
  - post new draft
  - list of drafts (original to final)
  - doc info
  - distribution list
  - comments
- matter admin (for matter administrator only)

7.01

**MATTER ADMINISTRATION**  
(matter administrator access only)

- MATTER ADMINISTRATION**
- list of participants (all participants from all parties, except invisible people from other party)
  - delete participants
  - add participants (search)
    - participant name 1 (populated from res.)
    - organization name (populated from res.)
    - function/role (populated from res.)
  - assign administrative rights
  - party association
  - make participant invisible (yes, no)
  - document grant access list

- list of docs (only docs matter admin can see)
- delete draft only documents
- rename documents
- rename folders (below 2nd tier)
- edit posting info

- close matter
  - delete matter button
- submit, cancel button

Submit, back to Matter Library

No additional docs accepted. Any docs under protective order can no longer be accepted.

7.04

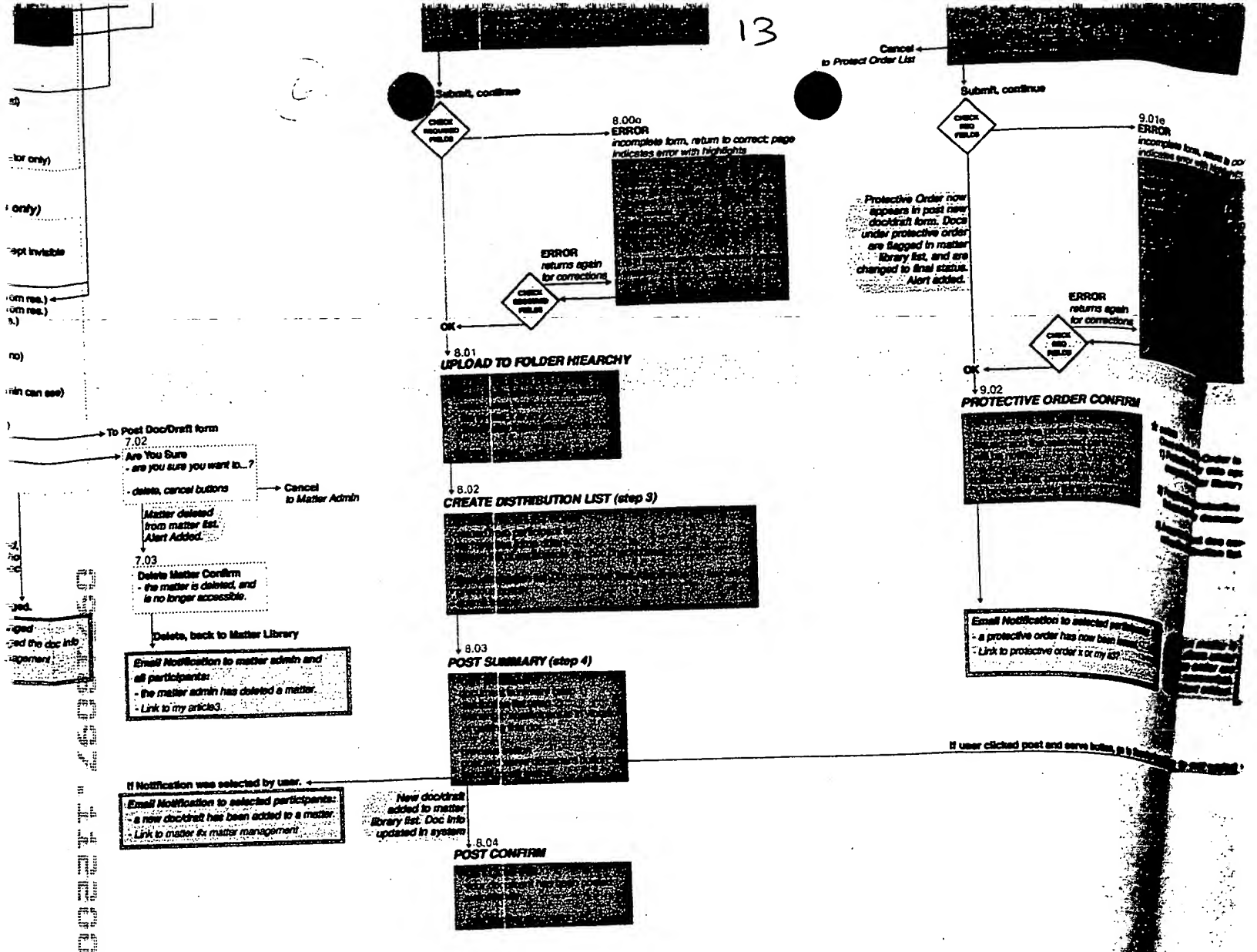
**Close Matter Confirm**  
- the matter is closed and no additional docs are accepted.

If doc info changed, notify person who originally posted doc

Email Notification doc changed.

**Email Notification doc changed:**  
- the matter admin has changed the doc  
- Link to matter for matter management

09748097 442200



9.05  
**I ACCEPT CONFIRM**  
I ACCEPT CONFIRM  
- It will be noted that you have accepted this protective order.  
- Link to x matter management

9.06  
**ARE YOU SURE?**  
ARE YOU SURE?  
- Are you sure you want to decline this protective order?  
- If you decline, you will be removed from this matter.  
- Accept button  
- Decline button

User no longer has access to matter.  
9.07  
**DECLINE CONFIRM**  
YOU'VE BEEN REMOVED  
- You've been removed from this matter, and will no longer have access.

Email Notification to PO creator  
- User declined protective order  
- User removed from matter  
- Link to x matter management

11.10  
**PRINTER FRIENDLY**

11.01  
**CREATE ONLINE PROCEEDING**

Cancel  
to Online Proceeding List  
Online hearing link gets added to matter library, and online proceeding list. Alert added.

11.02  
**ONLINE PROCEEDING CONFIRM**  
Notify participants.  
Email Notification to participants  
- an online proceeding has been created.  
- Link to matter in online proceeding

11.05  
**POST QUEST CONFIRM**  
System captures poster identity, time and date, question added to bulletin board. Alert added.  
Notify Participants on date  
Email Notification to participants  
- a question has been posted online proceeding  
- Link to matter in online proceeding

11.07  
**MAKE RULING**  
11.08  
**MAKE RULING CONFIRM**

return to correct page with highlights

protective Order is created: protective Order title appears in user's matter library.  
not on Protective Order distribution not view document (non-clickable).  
can't grant doc access to someone in the distribution list.

If terminate access at closure of matter has been selected, then notify participants, at closure of matter, that docs are no longer accessible.  
When matter is closed, docs under protective order are no longer accessible. Alert added.  
Email Notification to selected participants:  
- docs under a protective order are no longer accessible.  
- Link to x matter management

m. The doc is not added to matter library until they finish e-service process.



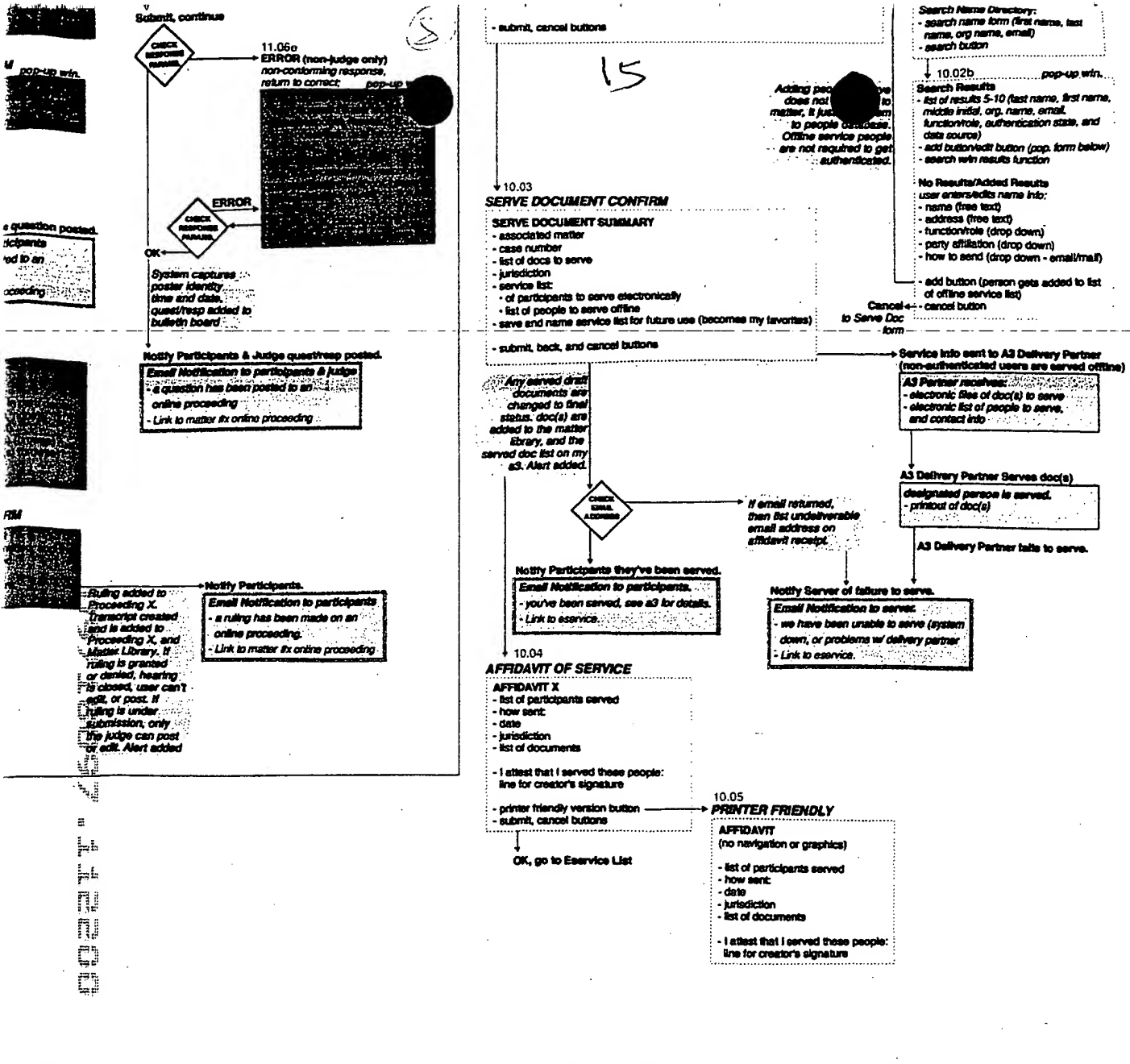


FIG. 10

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